

Oregon Workers' Compensation Compliance Section

Field Audit Unit _____

Purpose

To effect insurer and self-insured employers' compliance with claims processing rules, validate expenditures from the Workers' Benefit Fund, and ensure employers' compliance with rules governing self-insurance.

Responsibilities

- ◆ **Insurer compliance with claim processing rules** - Determine if compensation benefits are paid and claim reports are submitted, timely and accurately. Assess penalties as needed to effect compliance with the rules.
- ◆ **Expenditures from the Workers' Benefit Fund** - Validate from insurer records that expenditures meet eligibility requirements. Require monetary adjustments as necessary.
- ◆ **Employer compliance with rules governing self-insurance** - Determine if self-insurers are maintaining adequate security deposits to meet liabilities. Determine self-insurer loss experience and ensure accurate payments of assessments.

Functions

Field auditors conduct various auditing activities, including claims processing performance audits of insurers and self-insured employers as well as auditing expenditures from the Workers' Benefit Fund. Claims processing performance audits include a computerized quarterly audit and an on-site comprehensive audit covering approximately 30 claims processing areas. The quarterly audit is based on data reported to the department. Comprehensive audits are currently conducted on a three-year cycle for all insurers and self-insured employers.

Audits are also currently performed on the following programs funded by the Workers' Benefit Fund: Workers with Disabilities Program, Reopened Claims Program, Preferred Worker Program (claim costs), Employer-at-Injury Program and the Non-complying Employer Program.

Primary governing rules

All WCD rules are involved in the work of the Field Audit Unit.

For more information contact:

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